

# **RECORD OF POOL SELECTIONS**

**October 21, 2014**  
*(Updated July 5, 2016)*

**RFQ 14-10320-4844**

## **Underwriting Services**

### **Senior Book-running Manager**

**Bank of America Merrill Lynch**  
**Barclays Capital**  
**Citigroup Global Markets Inc.**  
**Goldman, Sachs & Co.**  
**J.P. Morgan Securities, Inc.**  
**Jefferies LLC**  
**Loop Capital Markets**  
**Morgan Stanley & Co. LLC**  
**Piper Jaffray & Co.**  
**PNC Capital Markets, LLC**  
**RBC Capital Markets, LLC**  
**Siebert, Brandford, Shank & Co., L.L.C.**  
**Wells Fargo Bank, N.A.**  
**Barclays Capital and Janney Montgomery Scott, LLC (updated 1/5/16)**

### **Co-Manager**

**Blaylock Beal Van, LLC**  
**BNY Mellon Capital Markets, LLC**  
**Boenning & Scattergood, Inc.**  
**Cabrera Capital Markets, LLC**  
**Drexel Hamilton, LLC**  
**~~Edward D. Jones & Co., L.P.~~ (Removed 10/6/15)**  
**Fidelity Capital Markets (a division of National Financial Services, LLC)**  
**FTN Financial Capital Markets (added 7/5/16)**  
**Janney Montgomery Scott, LLC**  
**Mesirow Financial, Inc/Estrada Hinojosa & Company, Inc.**  
**NW Capital Markets, Inc.**  
**Quoin Capital, LLC**  
**Raymond James & Associates, Inc.**  
**Rice Financial Products Company**  
**Robert W. Baird & Co.**  
**Roosevelt & Cross Incorporated**  
**Samuel A. Ramirez & Co., Inc.**  
**Stern Brothers & Co.**  
**Stifel, Nicolaus & Company, Incorporated/Backstrom McCarley Berry & Co., LLC (updated 12/2/14)**  
**U.S. Bancorp**

**Recorded By: Wanda Metzger**  
**Contracts Supervisor**

# **RECORD OF PROPOSALS RECEIVED**

**July 31, 2014**  
**(Updated December 2, 2014)**

**RFQ# 14-10320-4844**

## **UNDERWRITING SERVICES**

### **COMPANY NAME**

Academy Securities, Inc.  
Bank of America Merrill Lynch  
Barclays  
Blaylock Beal Van LLC  
BNY Mellon Capital Markets, LLC  
Boenning & Scattergood, Inc.  
Cabrera Capital Markets, LLC  
Citigroup Global Markets Inc.  
CV Brokerage Inc.  
Drexel Hamilton, LLC  
Edward D. Jones & Co., L.P.  
Fidelity Capital Markets (A division of National Financial Services, LLC)  
FTN Financial Capital Markets  
Goldman Sachs & Co.  
J. P. Morgan Securities, LLC  
Janney Montgomery Scott LLC  
Jefferies LLC  
Loop Capital Markets  
Mesirow Financial, Inc / Estrada Hinojosa & Company, Inc.  
Morgan Stanley & Co. LLC  
NW Capital Markets, Inc.  
Piper Jaffray & CO.  
PNC Capital Markets LLC  
Quoin Capital, LLC  
Raymond James & Associates, Inc.  
RBC Capital Markets, LLC  
Rice Financial Products Company  
Robert W. Baird & Co.  
Roosevelt & Cross Incorporated  
Samuel A. Ramirez & Co., Inc.  
Siebert Brandford Shank & Co., L.L.C.  
Stern Brothers & Co.  
Stifel, Nicolaus & Company, Incorporated/Backstrom McCarley Berry & Co., LLC (updated 12/2/14)  
U.S. Bancorp  
Wells Fargo Bank, N.A.

**Recorded By: Stephanie Newbury**  
**Contracts Administrator**