REQUEST FOR QUALIFICATIONS FOR APPRAISAL SERVICES: REAL ESTATE; AND, MACHINERY, EQUIPMENT AND INVENTORY

ISSUING OFFICE

Pennsylvania Turnpike Commission

Office of Chief Counsel Legal Affairs Department

RFQ

11-10190-3287

DATE OF ISSUANCE

August 24, 2011

REQUEST FOR QUALIFICATIONS FOR APPRAISAL SERVICES: REAL ESTATE; AND, MACHINERY, EQUIPMENT AND INVENTORY

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PART I

GENERAL INFORMATION

I-1. Purpose. The Pennsylvania Turnpike Commission (Commission) seeks to retain the services of one or more Appraisers or Appraisal Firms experienced in the appraisal of real estate both generally and pursuant to the requirements of the Pennsylvania Eminent Domain Code, as well as Appraisers or Appraisal Firms experienced in the appraisal of machinery, equipment and inventory (Appraisers). Through this Request for Qualifications (RFQ) process, the Commission seeks to appoint a pool or pools of Appraisers who will be available to provide such appraisal services as needed from time to time. Please note that inclusion in the pool does <u>not</u> guarantee or provide assurances that an Appraiser will be selected to provide appraisal services to the Commission.

This RFQ provides interested Appraisers with sufficient information to enable them to prepare and submit statements of qualification for consideration by the Commission to satisfy a need for appraisal services. Appraisers wishing to be considered for inclusion in the pool should respond to this RFQ in all respects.

The Commission reserves the right to retain appraisers to provide appraisal services which are not included in the pool(s). Such selection may be based on complexity and such other factors as the Commission deems, in its sole discretion, relevant.

Following the creation of the pool, the Commission reserves the right, in its sole and absolute discretion, to add or remove Appraisers from the pool at any time.

I-2. Background.

The Turnpike System

The present system is composed of the following: a 359 mile Turnpike Mainline traversing the southern portion of Pennsylvania from east to west, a 110 mile north-south section identified as the Northeast Extension, a 16 mile north-south connection, known as the Beaver Valley Expressway which intersects the Turnpike Mainline in the southwestern portion of the Commonwealth, the 13 mile Amos K. Hutchinson Bypass, which adjoins the Turnpike Mainline near the New Stanton Interchange, a 23-mile section of the Mon/Fayette Project, an eight mile section from the Pennsylvania/West Virginia border to Fairchance, which is located just south of Uniontown, and the first part of the Southern Beltway, the Findlay Connector near Greater Pittsburgh International Airport. When completed, the Mon/Fayette Expressway will extend 65 miles from Interstate Route 68 in West Virginia to Interstate Route 376 near Pittsburgh.

The Pennsylvania Turnpike System has a total of 57 interchanges which connect it with major arteries and population centers in its 531 mile traffic corridor. Thirty of the interchanges are located on the Turnpike Mainline, including Turnpike Mainline barriers at the New Jersey and Ohio state lines, and 10 interchanges are situated on the Northeast Extension. The additional 17 interchanges are located on the three extensions previously noted. There are 17 service plazas along the Pennsylvania Turnpike System providing gasoline and diesel fuel, other automotive supplies and services, and restaurant services.

I-3. Issuing Office. This RFQ is issued for the Commission by the **Office of the Chief Counsel**, **Legal Affairs Department.** All questions regarding this RFQ must be directed to the Commission pursuant to the process identified in Section I-10 below. No questions will be addressed except through such process.

I-4. Scope. This RFQ contains instructions governing the statements of qualification to be submitted and the material to be included therein; a description of the service to be provided; requirements which must be met to be eligible for consideration; general evaluation criteria; and other requirements to be met by each statement of qualifications.

I-5. Problem Statement.

A. General Description

The Commission is soliciting statements of qualifications from appraisers and appraisal firms for the purpose of creating a pool or pools of potential appraisers to provide appraisal services for real estate as well as for the appraisal of machinery, equipment and inventory. Such selection may be made with or without the issuance of a subsequent Request for Proposals with respect to a specific transaction.

The Commission does not intend to name all Appraisers that could potentially be deemed "qualified" to the pool, but rather intends to select those firms that best meet the Commission's selection criteria, as identified in Section III-3 of this RFQ.

B. Requested Services

Appraisal services for real estate, both generally and pursuant to the requirements of the Pennsylvania Eminent Domain Code. Appraisal services for machinery, equipment and inventory.

I-6 Type of Contract. It is proposed that if a contract is entered into as a result of this RFP, work will be assigned on an open-end contract basis.

I-7. Rejection of Statements of Qualification. The Commission reserves the right to reject any and all Statements of Qualification received as a result of this request.

I-8. Subcontracting. Any use of subcontractors by a Proposer must be identified in the proposal. During the contract period use of any subcontractors by the selected Proposer, which were not previously identified in the proposal, must be approved in advance in writing by the Commission.

A firm that responds to this solicitation as a prime may not be included as a designated subcontractor to another firm that responds to the same solicitation. **Multiple responses under any of the foregoing situations may cause the rejection of all responses of the firm or firms involved.** This does not preclude a firm from being set forth as a designated subcontractor to more than one prime contractor responding to the project advertisement.

I-9. Incurring Costs. The Commission is not liable for any costs incurred by Respondents in their preparation and submission of Statements of Qualification, in participating in the RFQ process or in anticipation of award of real estate appraisal opportunities.

I-10. Questions and Answers. Written questions may be submitted to clarify any points in the RFQ which may not have been clearly understood. Written questions should be submitted via email to **RFP-Q@paturnpike.com** with "RFQ 11-10190-3287" in the subject line to be received no later than **2:00 pm, EDT, Tuesday, September 6, 2011**. All questions and written answers will be posted to the website as an addendum to and become part of this RFQ. No questions regarding the RFQ will be addressed except through this process.

I-11. Addenda to the RFQ. If it becomes necessary to revise any part of this RFQ before the response date, addenda will be posted to the Commission's website under the original RFQ document. It is the responsibility of all Respondents to periodically check the website for any new information or addenda to the RFQ.

The Commission may revise a published advertisement. If the Commission revises a published advertisement less than ten days before the RFQ due date, the due date will be extended to maintain the minimum ten-day advertisement duration if the revision alters the project scope or selection criteria. Appraisers are responsible to monitor advertisements/addenda to ensure the submitted proposal complies with any changes in the published advertisement.

I-12. Response. To be considered, Statements of Qualification must be delivered to the Pennsylvania Turnpike Commission's Contracts Administration Department, Attention: Donald S. Klingensmith, P.E., Manager of Contracts Administration, on or before **12:00 pm EDT**, Wednesday, September 28, 2011. The Pennsylvania Turnpike Commission is located at 700 South Eisenhower Boulevard, Middletown, PA 17057 (Street address). Our mailing Address is P. O. Box 67676, Harrisburg, PA 17106.

Please note that use of U.S. Mail, FedEx, UPS, or other delivery method, does not guarantee delivery to this address by the above-listed time for submission. Proposers mailing proposals should allow sufficient delivery time to ensure timely receipt of their proposals. If the Commission office location to which proposals are to be delivered is closed on the proposal response date, due to inclement weather, natural disaster, or any other cause, the deadline for submission shall be automatically extended until the next Commission business day on which the office is open. Unless the Proposers are otherwise notified by the Commission, the time for submission of proposals shall remain the same.

I-13. Statements of Qualifications. To be considered, Respondents should submit a complete response to this RFQ, using the format provided in PART II. Each Statement of Qualifications should be submitted in six (6) hard copies to the Contract Administration Department. No other distribution of Statements of Qualification will be made by the Respondent. Each page should be numbered for ease of reference. Statements of Qualification must be signed by an official authorized to bind the Respondent to its provisions and include the Proposer's Federal Identification Number.

I-14. Economy of Preparation. Statements of Qualifications should be prepared simply and economically, providing a straightforward, concise description of the Respondent's ability to meet the requirements of the RFQ. For submissions, the body (in response to Part II-D – II-F) of the proposal shall not exceed twenty (20) pages of 12 point type, excluding required tables and appendices.

I-15. Discussions for Clarification. Proposers who submit Statements of Qualifications may be required to make an oral or written clarification of their proposals to the Issuing Office to ensure thorough mutual understanding and Proposer responsiveness to the solicitation requirements. The Issuing Office will initiate requests for clarification.

I-16. Statement of Qualifications Contents. Statements of Qualifications will be held in confidence and will not be revealed or discussed with competitors, unless disclosure is required to be made (i) under the provisions of any Commonwealth or United States statute or regulation; or (ii) by rule or order of any court of competent jurisdiction. All material submitted with the statement becomes the property of the Commission and may be returned only at the Commission's option. Statements of Qualifications submitted to the Commission may be reviewed and evaluated by any person other than competing Respondents at the discretion of the Commission. The Commission has the right to use any or all ideas presented in any response. Selection or rejection of the responding firm does not affect this right.

In accordance with the Pennsylvania Right-to-Know Law (RTKL), 65 P.S. § 67.707 (Production of Certain Records), Respondents shall identify any and all portions of their Statement of Qualifications that contains confidential proprietary information or is protected by a trade secret. Statements of Qualifications shall include a written statement signed by a representative of the company/firm identifying the specific portion(s) of the response that contains the trade secret or confidential proprietary information.

Respondents should note that "trade secrets" and "confidential proprietary information" are exempt from access under Section 708(b)(11) of the RTKL. Section 102 defines both "trade secrets" and "confidential proprietary information" as follows:

<u>Confidential proprietary information</u>: Commercial or financial information received by an agency: (1) which is privileged or confidential; <u>and (2)</u> the disclosure of which would cause substantial harm to the competitive position of the person that submitted the information.

<u>Trade secret</u>: Information, including a formula, drawing, pattern, compilation, including a customer list, program, device, method, technique or process that: (1) derives independent economic value, actual or potential, from not being generally known to and not being readily ascertainable by proper means by other persons who can obtain economic value from its disclosure or use; <u>and</u> (2) is the subject of efforts that are reasonable under the circumstances to maintain its secrecy. The term includes data processing software by an agency under a licensing agreement prohibiting disclosure.

65 P.S. §67.102 (emphasis added).

The Office of Open Records has determined that a third party must establish a trade secret based upon factors established by the appellate courts, which include the following:

the extent to which the information is known outside of his business;

the extent to which the information is known by employees and others in the business;

the extent of measures taken to guard the secrecy of the information;

the value of the information to his business and to competitors;

the amount of effort or money expended in developing the information; and

the ease of difficulty with which the information could be properly acquired or duplicated by others.

See Crum v. Bridgestone/Firestone North Amer. Tire., 907 A.2d 578, 585 (Pa. Super. 2006).

The Office of Open Records also notes that with regard to "confidential proprietary information the standard is equally high and may only be established when the party asserting protection shows that the information at issue is either 'commercial' or 'financial' and is privileged or confidential, and the disclosure *would* cause substantial competitive harm." (emphasis in original).

For more information regarding the RTKL, visit the Office of Open Records' website at <u>www.openrecords.state.pa.us</u>.

I-17. Debriefing Conferences. Respondents whose firms are not selected to be included in the pool or pools will be notified of the name of the selected respondents and given the opportunity to be debriefed, at their request. The Issuing Office will schedule the time and location of the debriefing. The Respondent will not be compared with other respondents.

I-18. News Releases. News releases pertaining to this project will not be made without prior Commission approval, and then only in coordination with the Issuing Office.

I-19. Term of Appointment. The Commission intends that the pool or pools established pursuant to this request will remain in effect for three years, but reserves the right to extend the term of the pool or pools for up to three (3) one-year extensions.

I-20. Respondent's Representations and Authorizations. Each Respondent by submitting its Statement of Qualifications understands, represents, and acknowledges that:

- a. All information provided by, and representations made by, the Respondent are material and important and will be relied upon by the Issuing Office in establishing pools. Any misstatement, omission or misrepresentation shall be treated as fraudulent concealment from the Issuing Office of the true facts relating to the submission of this Statement of Qualifications. A misrepresentation shall be punishable under 18 Pa. C.S. § 4904.
- b. To the best knowledge of the person signing the proposal for the Respondent, the Respondent, its affiliates, subsidiaries, officers, directors, and employees are not currently under investigation by any governmental agency and have not in the last four (4) years been convicted or found liable for any act prohibited by State or Federal law in any jurisdiction, involving conspiracy or collusion with respect to bidding or proposing on any public contract, except as disclosed by the Respondent in its Statement of Qualifications.
- c. To the best of the knowledge of the person signing the proposal for the Respondent and except as otherwise disclosed by the Respondent, the Respondent has no outstanding, delinquent obligations to the Commonwealth including, but not limited to, any state tax liability not being contested on appeal or other obligation of the Respondent that is owed to the Commonwealth.

- d. The Respondent is not currently under suspension or debarment by the Commonwealth, or any other state, or the federal government, and if the Respondent cannot certify, then it shall submit along with the Statement of Qualifications a written explanation of why such certification cannot be made.
- e. Each Respondent, by submitting its Statement of Qualifications, authorizes all Commonwealth agencies to release to the Commission information related to liabilities to the Commonwealth including, but not limited to, taxes, unemployment compensation, and workers' compensation liabilities.
- f. The Respondent has not, under separate contract with the Commission, made any recommendations to the Commission concerning the need for the services described in the RFQ.

PART II

INFORMATION REQUIRED FROM RESPONDENTS

Statements of Qualification must be submitted in the format, including heading descriptions, outlined below. To be considered, the response must respond to all requirements in this part of the RFQ. Any other information thought to be relevant, but not applicable to the enumerated categories, should be provided as an appendix to the Statement of Qualifications.

The Commission reserves the right to request additional information which, in the Commission's opinion, is necessary to assure that the Respondent's competence, number of qualified employees, business organization, and financial resources are adequate to perform according to the RFQ.

II-1. Required Information

A. **Title Page**

Show the name of Respondent's firm, address, telephone number, name of contact person, date and the subject: REQUEST FOR QUALIFICATIONS FOR APPRAISAL SERVICES FOR REAL ESTATE; AND MACHINERY, EQUIPMENT AND INVENTORY.

B. **Table of Contents**

Include a clear identification of the material by section and by page number.

C. Cover Letter and Executive Summary

This letter should be signed by an individual who is authorized to negotiate terms, render binding decisions and commit the firm's resources.

Summarize the Respondent's understanding of the work to be done and make a positive commitment to perform the work necessary. This section should summarize the key points of your submittal.

(Limit to two pages.)

D. **Firm Overview**

Provide a brief history and description of your firm's business organization and its appraisal practice and experience. Include the location of offices and the number of licensed appraisers in each office. Discuss your firm's presence in and commitment to the Commonwealth of Pennsylvania. Include a discussion of the specific expertise and services that distinguish your firm.

E. Personnel and References

Provide the names, proposed roles, background and experience, office location and availability of the personnel that would work on the Commission's account, and specifically identify the primary person(s) who will be responsible for managing the relationship with the Commission. Proposed appraisers must possess a valid Pennsylvania license. Respondent must submit a current resume for all proposed appraisers listing relevant experience and applicable professional affiliations. Provide a

list of five clients for which each appraiser has performed real estate and/or machinery, equipment and inventory appraisal services within the past 36 months.

F. **Relevant Experience**

Provide a narrative statement regarding your appraisal experience. Additionally include a statement regarding your understanding of the requirements of calculating damages pursuant to the Pennsylvania Eminent Domain Code and your ability to provide appraisal services in accordance with the same.

Describe your firm's experience in providing appraisal services to other clients, including governmental entities clothed with the power of eminent domain within the Commonwealth of Pennsylvania. Illustrate an ability to read and interpret engineering plans; evaluate and value impacts to real property, prepare reports, documents and complete other tasks associated with the appraisal of real property in accordance with the Pennsylvania Eminent Domain Code. Describe the business practices that enable you to complete these tasks in an efficient, timely and, at times, expeditious manner.

Include a statement regarding any specialty appraisal services your firm may offer.

(Response to Part II-D through II-F should not exceed 20 pages.)

G. Potential Conflict of Interest

Identify any relationships or activities that might present a conflict of interest if your firm is selected to provide appraisal services as described in this RFQ.

H. **Professional Liability Insurance**

Describe the level of professional liability insurance carried, including the deductible amount, to cover errors and omissions and negligence.

II-2. Commitment to Diversity.

It is a goal of the Commission to utilize qualified firms that have a demonstrated history of hiring, training, developing, promoting and retaining minorities and women and to encourage participation by qualified certified minority and woman-owned (MBE/WBE) firms, if available. The Commission recognizes the following minority and woman-owned business certifications for this RFQ. The Commission reserves the right to amend this list and maintains sole decision-making authority on the acceptance of certifying agencies and designations.

PA Unified Certification Program - www.paucp.com PA Department of General Services - www.dgs.state.pa.us National Minority Supplier Development Council - www.nmsdcus.org Women Business Enterprise National Council - www.wbenc.org U.S. Small Business Administration small disadvantaged businesses or 8(a) small disadvantaged business concerns

A. Diversity Questionnaire. A diversity questionnaire is required to be completed by the Respondent to ensure a commitment to equal opportunity and affirmative action (see Attachment A.).

- **B. Purpose of Diversity Questionnaire.** The information requested in the Diversity Questionnaire is designed to elicit appropriate information about the Respondent in order to verify that its work environment demonstrates a strong commitment to diversity.
- **C. Types of Information Requested.** The required information includes information on the demographics of the Respondent's upper level management and corporate commitment to diversity and equal opportunity initiatives.
- **D. Certification Requirement.** A person authorized to bind the Respondent contractually <u>must</u> <u>submit with the firm's statement of qualifications</u> a certification outlining the Respondent's continued commitment to its diversity initiatives.

PART III

CRITERIA FOR SELECTION

III-1. Mandatory Responsiveness Requirements. To be eligible for selection, the Statement of Qualifications should be (a) timely received from a Respondent; and (b) properly signed by the Respondent.

III-2. Statements of Qualification will be reviewed, evaluated, and rated by a Technical Evaluation Team of qualified personnel. The Technical Evaluation Team will present the evaluations to the Professional Services Procurement Committee (PSPC). The PSPC will recommend for selection those firms that most closely meet the requirements of the RFQ and satisfy Commission needs. Consideration for inclusion in the pool(s) will only be made to Respondents determined to be responsible in accordance with Commonwealth Management Directive 215.9, Contractor Responsibility Program.

III-3. The following criteria will be used, in order of relative importance from the highest to the lowest, in evaluating each statement of qualifications.

- 1. Overall
 - a. Responsiveness, organization, and clarity of Statement of Qualifications.
 - b. Presence in and commitment to the Commonwealth of Pennsylvania.
 - c. Organization, size and structure of firm.
 - d. Ability to perform tasks in an efficient, timely and, at times, expeditious manner.
- 2. Assigned Personnel
 - a. Qualifications and experience of licensed appraisers, tenure with firm, length of time in the industry and type of experience.
 - b. Responses of references.
 - c. Location and availability to Commission staff.
- 3. Firm Experience
 - a. Length of time in the industry.
 - b. Relevant experience.
 - c. Responses of references.
- 4. Commitment to Diversity. The Respondent's demonstrated diversity and equal employment record, including: (i) recognition of the Respondent's equal employment opportunity and diversity policies, programs and initiatives; (ii) the diversity of the staff that will be substantially involved in work performed for the Commission and the firm's plan for utilizing minority and women staff in such work; (iii) the Respondent's status as a certified MBE/WBE; and (iv) the Respondent's plan for utilizing minority and women staff in partnering or joint venture arrangements proposed by the firm, if applicable.

ATTACHMENT A

Diversity Questionnaire

PENNSYLVANIA TURNPIKE COMMISSION Diversity Questionnaire

Number of Employees (report employees in only one category) Race/Ethnicity														
		anic or atino					No	on-Hispar	nic or Latir	10				
					Male	:					Femal	le		
Job Categories Exeutives/Senior	Male	Female	White	Black or African- American	Native Hawaiian or other Pacific Islander	Asian	American Indian or Alaska Native	Two or more races	White	Black or African- American	Native Hawaiian or other Pacific Islander	Asian	American Indian or Alaska Native	Two or more races
Level Officials and Managers														
First/Mid-Level Officials and Managers														
Professionals														
Technicians														
Sales Workers														
Administrative Support Workers														
Craft Workers														
Operatives														
Laborers and Helpers														
Service Workers														
Total														
2009 Total														
2008 Total														

I. Company Demographic Profile

(NOTE: proposers can also attach Employer Information Reports EEO-1 for the last 3 years)

	Dem	ogrupi		Numl		vees (repor	employees in Ethnicity		<u> </u>							
	Hisp La	anic or ttino	Non-Hispanic or Latino													
			Male							Female						
Job Categories	Male	Female	White	Black or African- American	Native Hawaiian or other Pacific Islander	Asian	American Indian or Alaska Native	Two or more races	White	Black or African- American	Native Hawaiian or other Pacific Islander	Asian	American Indian or Alaska Native	Two or more races		
Exeutives/Senior Level Officials and Managers																
First/Mid-Level Officials and Managers																
Professionals																
Technicians																
Sales Workers																
Administrative Support Workers																
Craft Workers																
Operatives																
Laborers and Helpers																
Service Workers																
Total																

II. Demographic Profile of Staff Assigned to this Engagement

III. Employment Practices Information

1. Please provide a copy of your company's equal opportunity and affirmative action policy.

2. Does your company actively recruit minority and women professionals for senior level positions? Yes or No

IV. MBE/WBE Certification Status

1. Is your company certified as a minority or woman-owned business enterprise with the certifying agencies listed above? Yes or No

2. If yes, please provide a copy of your certification.

3. If No, please list all other jurisdictions and/or certifying bodies that have deemed your company minority and/or women-owned. Also, please provide a copy of each certification.

4. If your company has applied for but has not, as of the issuance of the RFP, been certified as a minority or women-owned business enterprise by the certifying agencies listed above, you must submit proof of a pending application, including the filing date.

V. Strategic Plan and Diversity Initiatives

1. Please provide a copy of your company's Diversity Policy statement.

2. Does your company have a full-time "Director of Diversity" or someone whose primary responsibility is to oversee administration and compliance with the company's diversity business development? Yes or No

3. Separate from your company's Diversity Policy Statement, is your company's CEO or Chief Procurement Officer ("CPO") committed to and engaged in the process of diversity business development? Yes or No

If yes, please attach a signed statement from your CEO or CPO, if available.

4. Does your company have a registration process for disadvantaged, minority, and women-owned companies interested in doing business with you (Supplier Diversity)? Yes or No

5. Does your company currently track procurement spending with diversity, minority and women owned businesses? Yes or No

6. Does your company have a documented numeric goal for utilizing disadvantaged, minority, and women owned businesses as suppliers? Yes or No

If yes, please provide documentation of this goal as a percentage of total procurement dollars.

VI. Joint Venture / Strategic Partnerships / Sub-contracting (*if applicable*)

1. Has your company engaged in any prior partnering arrangements with certified MBE/WBE companies? (Responses should include the nature of the engagement, how such arrangement was structured, and a description of how the services and fee were allocated).

2. Please state your company's willingness, if any, to partner with a certified MBE/WBE. Explain how you would suggest structuring such an arrangement and allocating work in a manner that ensures that partnered MBE/WBE companies receive appropriate credit and compensation for the services they provide.

Addendum No. 1

RFQ # 11-10190-3287

APPRAISAL SERVICES: REAL ESTATE; AND, MACHINERY, EQUIPMENT AND INVENTORY

Prospective Respondents: You are hereby notified of the following information in regard to the referenced RFP:

Following are the answers to questions submitted in response to the above referenced RFQ as of September 6, 2011. All of the questions have been listed verbatim, as received by the Pennsylvania Turnpike Commission.

1. "Will the awarded firm be doing Appraisal Services AND Review or is Appraisal Services OR Review. In other words, will the awarded firm be doing both or just one?"

The Commission intends to utilize the qualified pool for both appraisal <u>and</u> appraisal review services.

2. "Can an employee of a responding firm be identified as a staff member of two different prime contractors?"

Yes.

 "Is the Turnpike considering developing an RFQ for Appraisal Review Services? If so, may a respondent's name appear on a proposal for Appraisal Services under current RFQ #11-10190-3287 and also appear on any future RFQ for Appraisal Review Services?"

At this time the Commission does not intend to advertise a separate RFQ for only appraisal review services.

4. "Is this a 2 part RFQ (Real Estate and Machinery & Equipment). Can a quote be provided for 1 part (Machinery & Equipment) without offering a quote for the Real Estate part?"

Yes. The same is true if a respondent is requesting qualifications for only real estate appraisal services. Respondent should clearly state the service for which it is requesting qualification.

All other terms, conditions and requirements of the original RFQ dated August 24, 2011 remain unchanged unless modified by this Addendum.