

# **RECORD OF PROPOSALS RECEIVED**

**June 7, 2010**

**RFP 10-10320-2582**

**Underwriting Services**

## **COMPANY NAME**

Backstrom, McCarley Berry & Co., LLC  
Bank of America Merrill Lynch  
Barclays Capital  
BNY Mellon Capital Markets, LLC  
Boenning & Scattergood, Inc.  
Cabrera Capital Markets, LLC  
Citigroup Global Markets, Inc.  
Duncan-Williams, Inc.  
Edward Jones  
Goldman, Sachs & Co.  
Jefferies & Company  
J.P. Morgan Securities, Inc.  
Loop Capital Markets, LLC  
Mesirow Financial, Inc.  
Morgan Stanley  
Piper Jaffray & Co.  
PNC Capital Markets, LLC  
Quoin Capital, LLC  
Ramirez & Co., Inc.  
Raymond James & Associates, Inc.  
RBC Capital Markets  
Rice Financial Products Co.  
Rockfleet Financial Services, Inc.  
Siebert, Brandford, Shank & Co., LLC  
Stern Brothers & Co.  
Stifel Nicolaus & Co., Inc.  
Sturdivant & Co.  
TD Securities (USA), LLC  
Wells Fargo Securities

**Recorded By: Wanda Metzger**  
**Contracts Supervisor**

# **RECORD OF POOL SELECTIONS**

**August 10, 2010**

**RFP 10-10320-2582**  
**Underwriting Services**

## **Senior Book-running Manager**

Bank of America Merrill Lynch  
Barclays Capital  
Citigroup Global Markets, Inc.  
Goldman, Sachs & Co.  
J.P. Morgan Securities, Inc.  
Morgan Stanley  
RBC Capital Markets  
Siebert, Brandford, Shank & Co., LLC

## **Regional/Minority Senior Book-running Manager**

Boenning & Scattergood, Inc.  
Loop Capital Markets, LLC  
PNC Capital Markets, LLC  
Wells Fargo Securities

## **Co-Manager**

Backstrom, McCarley Berry & Co., LLC  
BNY Mellon Capital Markets, LLC  
Cabrera Capital Markets, LLC  
Duncan-Williams, Inc.  
Edward Jones  
Jefferies & Company  
Mesirow Financial, Inc.  
Piper Jaffray & Co.  
Quoin Capital, LLC  
Ramirez & Co., Inc.  
Raymond James & Associates, Inc.  
Rice Financial Products Co.  
Stern Brothers & Co.  
Stifel Nicolaus & Co., Inc.  
TD Securities (USA), LLC

**Recorded By: Wanda Metzger**  
**Contracts Supervisor**